

1. Name of Listed Entity:Max Ventures and Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: MAXVIL
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)	
			As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	Warrants (X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					
				Class eg: X	Class eg: y	Total												
A	Promoter & Promoter	10	69116592	0	0	69116592	47.21	69116592	0	691165	47.21	0	47.21	3448894	4.99			69116592

	Group									92							
B	Public	37750	77290180	0	0	77290180	52.79	77290180	0	77290180	52.79	0	52.79		0.00		76990151
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0.00	0		0	0.00		0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0			0.00		0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
	Total	37760	14640672	0	0	14640672	100.00	14640672	0	14640672	100.00	0	100.00	3448894	2.36		146106743

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of underlying Depository Receipts (VI)	Total shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1. Indian Individuals/Hindu undivided Family		6	3050065	0	0	3050065	2.08	3050065	0	3050065	2.08	0	2.08	0	0.00			3050065
PIYA SINGH	APDP1S6582D	1	52407	0	0	52407	0.04	52407	0	52407	0.04	0	0.04	0	0.00			52407
VEER SINGH	ASHP1S7471E	1	47637	0	0	47637	0.03	47637	0	47637	0.03	0	0.03	0	0.00			47637
NEELU ANALJIT SINGH	AAT1PS0682D	1	47501	0	0	47501	0.03	47501	0	47501	0.03	0	0.03	0	0.00			47501
RAVI VACHANI	AAFP1V1260Q	1	63477	0	0	63477	0.04	63477	0	63477	0.04	0	0.04	0	0.00			63477
ANALJIT SINGH	ABLP1S7514D	1	2791542	0	0	2791542	1.91	2791542	0	2791542	1.91	0	1.91	0	0.00			2791542
TARA SINGH VACHANI	BBCP1S3362P	1	47501	0	0	47501	0.03	47501	0	47501	0.03	0	0.03	0	0.00			47501

b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		4	66066527	0	0	66066527	45.13	66066527	0	66066527	45.13	0	45.13	3448894	5.22			66066527
	Bodies Corporate		4	66066527	0	0	66066527	45.13	66066527	0	66066527	45.13	0	45.13	3448894	20.62			66066527
	MAX VENTURES INVESTMENT HOLDINGS PRIVATE LIMITED	AAA CD0213H	1	31520447	0	0	31520447	21.53	31520447	0	31520447	21.53	0	21.53	0	0.00			31520447
	LIQUID INVESTMENT AND TRADING COMPANY PRIVATE LIMITED	AAA CL0518K	1	11314246	0	0	11314246	7.73	11314246	0	11314246	7.73	0	7.73	0	0.00			11314246
	MOHAIR INVESTMENT AND TRADING COMPANY (P) LTD	AAA CM0345D	1	6503181	0	0	6503181	4.44	6503181	0	6503181	4.44	0	4.44	0	0.00			6503181
	SIVA ENTERPRISES PRIVATE	AAW CS6023J	1	16728653	0	0	16728653	11.43	16728653	0	16728653	11.43	0	11.43	3448894	20.62			16728653

	LIMITED																		
	Sub-Total (A)(1)		10	691160	0	0	691165	47.21	691160	0	691165	47.21	0	47.21	3448	4.99			69116592
2	Foreign		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
b	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
c	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
d	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
e	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
	Sub-Total (A)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	691160	0	0	691165	47.21	691160	0	691165	47.21	0	47.21	3448	4.99			69116592

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights	Total as a % of Total			No. (a)	As a % of total	No. (No t)	As a % of total	

									Class X	Class Y	Total	Voting rights		(as a percentage of diluted share capital) (x)		Shares held (b)	ap- pli- ca- ble (a)	share s held (Not applic- able) (b)	
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Mutual Funds/		3	828	0	0	828	0.00	828	0	828	0.00	0	0.00		0.00			748
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
c	Alternate Investment Funds		2	2614105	0	0	2614105	1.79	2614105	0	2614105	1.79	0	1.79		0.00			2614105
	INDGROWTH CAPITAL FUND I	AAB TI423 8B	1	2320857	0	0	2320857	1.59	2320857	0	2320857	1.59	0	1.59		0.00			2320857
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
e	Foreign Portfolio Investors		10	11849170	0	0	11849170	8.09	11849170	0	11849170	8.09	0	8.09		0.00			11849170
	NEW YORK LIFE INSURANCE COMPANY	AAE CN22 29H	1	2077260	0	0	2077260	1.42	2077260	0	2077260	1.42	0	1.42		0.00			2077260
	FIRST STATE INVESTMENTS ICVC- FIRST STATE ASIA FOCUS FUND	AAD CF03 95D	1	5806849	0	0	5806849	3.97	5806849	0	5806849	3.97	0	3.97		0.00			5806849
f	Financial Institutions/ Banks		10	59878	0	0	59878	0.04	59878	0	59878	0.04	0	0.04		0.00			57228

g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
i	Any Other (specify)		1	31282950	0	0	31282950	21.37	31282950	0	31282950	21.37	0	21.37		0.00		31282950
	Other		1	31282950	0	0	31282950	21.37	31282950	0	31282950	21.37	0	21.37		0.00		31282950
	NEW YORK LIFE INTERNATIONAL HOLDINGS LTD	AAD CN82 10D	1	31282950	0	0	31282950	21.37	31282950	0	31282950	21.37	0	21.37		0.00		31282950
	Sub-Total (B)(1)		26	45806931	0	0	45806931	31.29	45806931	0	45806931	31.29	0	31.29	0	0.00		45804201
2	Central Government/ State Government(s) )/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals -		36427	24805130	0	0	24805130	16.95	24805130	0	24805130	16.95	0	16.95	0	0.00		24523734
i	Individual shareholders holding		36268	14604628	0	0	14604628	9.98	14604628	0	14604628	9.98	0	9.98		0.00		14326832

	nominal share capital up to Rs. 2 lakhs.																	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		159	10200502	0	0	10200502	6.97	10200502	0	10200502	6.97	0	6.97		0.00		10196902
b	NBFCs registered with RBI		10	36740	0	0	36740	0.03	36740	0	36740	0.03	0	0.03		0.00		36740
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
e	Any Other (specify)		1287	6641379	0	0	6641379	4.54	6641379	0	6641379	4.54	0	4.54		0.00		6625476
	Bodies Corporate		420	2716862	0	0	2716862	1.86	2716862	0	2716862	1.86	0	1.86		0.00		2705267
	Clearing Members		146	819106	0	0	819106	0.56	819106	0	819106	0.56	0	0.56		0.00		819106
	Director or Director's Relatives		2	119988	0	0	119988	0.08	119988	0	119988	0.08	0	0.08		0.00		118988
	Non-Resident Indian (NRI)		712	2851285	0	0	2851285	1.95	2851285	0	2851285	1.95	0	1.95		0.00		2847977
	ARVIND KHATTAR	BBM PK41 08D	1	1660932	0	0	1660932	1.13	1660932	0	1660932	1.13	0	1.13		0.00		1660932



	Trusts		6	18381	0	0	18381	0.01	18381	0	18381	0.01	0	0.01		0.00		18381
	Unclaimed or Suspense or Escrow Account		1	115757	0	0	115757	0.08	115757	0	115757	0.08	0	0.08		0.00		115757
	Sub-Total (B)(3)		37724	31483249	0	0	31483249	21.50	31483249	0	31483249	21.50	0	21.50	0	0.00		31185950
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		37750	77290180	0	0	77290180	52.79	77290180	0	77290180	52.79	0	52.79	0	0.00		76990151

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0		0	0	0	0.00	0		0		0		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>