

1. Name of Listed Entity: Max Ventures and Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: MAXVIL
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					
Class eg: X	Class eg: y	Total	No. (a)	As a % of total Shares held (b)													

A	Promoter & Promoter Group	83	70111993	0	0	70111993	47.82	70111993	0	70111993	47.82	0	47.82	3448894	4.92			70111993
B	Public	348059	76489919	0	0	76489919	52.18	76489919	0	76489919	52.18	0	52.18		0			76220708
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0			0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	34813	146601912	0	0	146601912	100	146601912	0	146601912	100	0	100	3448894	2.35			146332701

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hindu undivided Family		6	3050065	0	0	3050065	2.08	3050065	0	3050065	2.08	0	0	0	0	0	3050065
	RAVI VACHANI	AAFPV1260Q	1	63477	0	0	63477	0.04	63477	0	63477	0.04	0	0	0	0	0	63477
	NEELU ANALJIT SINGH	AATPS0682D	1	47501	0	0	47501	0.03	47501	0	47501	0.03	0	0	0	0	0	47501
	ANALJIT SINGH	ABLP\$7514D	1	2791542	0	0	2791542	1.9	2791542	0	2791542	1.9	0	0	0	0	0	2791542
	PIYA SINGH	APDPS6582D	1	52407	0	0	52407	0.04	52407	0	52407	0.04	0	0	0	0	0	52407
	VEER SINGH	ASHPS7471E	1	47637	0	0	47637	0.03	47637	0	47637	0.03	0	0	0	0	0	47637
	TARA SINGH VACHANI	BBCP\$3362P	1	47501	0	0	47501	0.03	47501	0	47501	0.03	0	0	0	0	0	47501



	Portfolio Investor																		
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		8	701110993	0	0	70111993	47.82	701110993	70111993	47.82	0	47.82	3448894	4.92			70111993	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\text{VII} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Mutual Funds/		3	828	0	0	828	0	828	0	828	0	0	0	0			748	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		2	2714287	0	0	2714287	1.85	2714287	2714287	1.85	0	1.85	0				2714287	
	INDGROWTH CAPITAL FUND I	AABTI4238B	1	2684853	0	0	2684853	1.83	2684853	2684853	1.83	0	1.83	0				2684853	
d	Foreign Venture		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

	Capital Investors																		
e	Foreign Portfolio Investors		8	11682560	0	0	11682560	7.97	11682560	0	11682560	7.97	0	7.97		0			11682560
	FIRST STATE INVESTMENTS ICVC-FIRST STATE ASIA FOCUS FUND	AADCF0395D	1	5217004	0	0	5217004	3.56	5217004	0	5217004	3.56	0	3.56		0			5217004
	NEW YORK LIFE INSURANCE COMPANY	AAECN229H	1	2077260	0	0	2077260	1.42	2077260	0	2077260	1.42	0	1.42		0			2077260
	INDIA INSIGHT VALUE FUND	AACIC16838F	1	2205000	0	0	2205000	1.5	2205000	0	2205000	1.5	0	1.5		0			2205000
f	Financial Institutions/ Banks		9	85120	0	0	85120	0.06	85120	0	85120	0.06	0	0.06		0			85120
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
i	Any Other (specify)		1	31282950	0	0	31282950	21.34	31282950	0	31282950	21.34	0	21.34		0			31282950
	Other		1	31282950	0	0	31282950	21.34	31282950	0	31282950	21.34	0	21.34		0			31282950
	NEW YORK	AAD	1	31282950	0	0	31282950	21.34	31282950	0	31282950	21.34	0	21.34		0			31282950

	LIFE INTERNATIONAL HOLDINGS LTD	CN82 10D		950			50		950		829 50							
	Sub-Total (B)(1)		23	45765 745	0	0	45765 45	31.22	45765 745	0	457 657 45	31.22	0	31.22	0	0		45763115
2	Central Government/ State Government(s) )/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		33691	25156 818	0	0	25156 818	17.16	25156 818	0	251 568 18	17.16	0	17.16	0	0		24905575
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		33533	14043 851	0	0	14043 51	9.58	14043 851	0	140 438 51	9.58	0	9.58		0		13792608
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		158	11112 967	0	0	11112 67	7.58	11112 967	0	111 129 67	7.58	0	7.58		0		11112967
b	NBFCs registered with RBI		5	8820	0	0	8820	0.01	8820	0	882 0	0.01	0	0.01		0		8820

c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		1086	555850	0	0	555853	3.79	555850	555	3.79	0	3.79	0			5543198	
	Bodies Corporate		337	155110	0	0	155119	1.06	155110	155	1.06	0	1.06	0			1539605	
	Clearing Members		73	741710	0	0	741719	0.51	741710	741	0.51	0	0.51	0			741719	
	Director or Director's Relatives		2	143260	0	0	143268	0.1	143260	143	0.1	0	0.1	0			142268	
	IEPF		1	105940	0	0	105942	0.07	105940	105	0.07	0	0.07	0			105942	
	Non-Resident Indian (NRI)		668	300000	0	0	300007	2.05	300000	300	2.05	0	2.05	0			2997283	
	ARVIND KHATTAR	BBM PK4108D	1	166090	0	0	166093	1.13	166090	166	1.13	0	1.13	0			1660932	
	Trusts		5	163810	0	0	16381	0.01	163810	163	0.01	0	0.01	0			16381	
	Sub-Total (B)(3)		34782	307240	0	0	307241	20.96	307240	307	20.96	0	20.96	0	0		30457593	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		34805	764890	0	0	764899	52.18	764890	764	52.18	0	52.18	0	0		76220708	





I	ANALJIT SINGH	ABLPS7514D		India	35.55	0	0			
A	ANALJIT SINGH	ABLPS7514D		India	1.9	0	0	No	Yes	07-Mar-2016
B	MAX VENTURES INVESTMENT HOLDINGS PVT. LTD.	AAACD0213H		India	33.65	0	0	No	Yes	28-Sep-2018

Table II- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>