

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Max Ventures and Industries Limited**
 2. Quarter ending - **31-March-2020**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Analjit Singh	00029641	ABLPS7514D	C,NED		15-Jan-2016	24-Sep-2019			11-Jan-1954	NA		3	0	0	0		
Mr.	Mohit Talwar	02394694	AABPT6752H	NED		15-Jan-2016	24-Sep-2019			17-Sep-1959	NA		3	0	6	1	AC,SC,NRC	
Mr.	Arthur Seter Harutyun	07440880	ZZZZZ9999Z	NED		17-Feb-2017	25-Sep-2018			05-Apr-1958	NA		1	0	0	0		Mr. Arthur Seter Harutyun is not an Indian national.
Mr.	Kummamuri Narasimha Murthy	00023046	ADFPK0974K	ID		15-Jan-2016	15-Jan-2016		50	13-Aug-1957	NA		3	3	7	5	AC,NRC	
Mr.	Dinesh Kumar Mittal	00040000	ABWPM4165B	ID		15-Jan-2016	15-Jan-2016		50	25-Jan-1953	NA		6	6	9	4	AC,NRC	
Mrs.	Gauri Padmanabhan	01550668	AECPP5468D	ID		26-Nov-2018	26-Nov-2018		16	11-Oct-1952	NA		1	1	0	0	NRC	
Mr.	Niten Malhan	00614624	AJOPM0110G	ID		08-Nov-2019	08-Nov-2019		5	02-Aug-1971	NA		1	1	2	0	AC,SC	
Mr.	Sahil Vachani	00761695	ADJPV6597K	ED		15-Jan-2016	15-Jan-2016			30-Apr-1983	NA		2	0	2	0	SC	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Dinesh Kumar Mittal	ID	Chairperson	15-Jan-2016	
2	Mohit Talwar	NED	Member	15-Jan-2016	
3	Kummamuri Narasimha Murthy	ID	Member	30-Oct-2017	
4	Niten Malhan	ID	Member	08-Nov-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Mohit Talwar	NED	Chairperson	15-Jan-2016	
2	Sahil Vachani	ED	Member	15-Jan-2016	
3	Niten Malhan	ID	Member	08-Nov-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Kummamuri Narasimha Murthy	ID	Chairperson	15-Jan-2016	
2	Dinesh Kumar Mittal	ID	Member	15-Jan-2016	
3	Gauri Padmanabhan	ID	Member	08-Aug-2019	
4	Mohit Talwar	NED	Member	15-Jan-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
08-Nov-2019	07-Feb-2020	Yes	4	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	90

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	08-Nov-2019		Yes	4	3
Audit Committee		07-Feb-2020	Yes	2	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	90

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Saket Gupta
Designation : Company Secretary & Compliance Officer

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.maxvil.com
Terms and conditions of appointment of	Yes		www.maxvil.com
Composition of various committees of	Yes		www.maxvil.com
Code of conduct of board of directors and	Yes		www.maxvil.com
Details of establishment of vigil mechanism/	Yes		www.maxvil.com
Criteria of making payments to non-	Yes		www.maxvil.com
Policy on dealing with related party	Yes		www.maxvil.com
Policy for determining 'material' subsidiaries	Yes		www.maxvil.com
Details of familiarization programs imparted	Yes		www.maxvil.com
Email address for grievance redressal and other relevant details entity who are	Yes		www.maxvil.com
Contact information of the designated	Yes		www.maxvil.com
Financial results	Yes		www.maxvil.com
Shareholding pattern	Yes		www.maxvil.com
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		www.maxvil.com
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		www.maxvil.com
Credit rating or revision in credit rating	Not		
Separate audited financial statements of	Yes		www.maxvil.com
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.maxvil.com
Materiality Policy as per Regulation 30	Yes		www.maxvil.com
Dividend Distribution policy as per	Yes		www.maxvil.com
It is certified that these contents on the	Yes		www.maxvil.com
II Annual Affirmations			
Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	

<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Meeting of Risk Management Committee</i>	22	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Yes	
<i>Other Corporate Governance requirements with respect to subsidiary of Annual Secretarial Compliance Report</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Alternate Director to Independent Director</i>	24(A)	Yes	
<i>Maximum Tenure</i>	25(1)	Not Applicable	
<i>Meeting of independent directors</i>	25(2)	Yes	
<i>Familiarization of independent directors</i>	25(3) & (4)	Yes	
<i>Declaration from Independent Director</i>	25(7)	Yes	
<i>D & O Insurance for Independent</i>	25(8) & (9)	Yes	
<i>Memberships in Committees</i>	25(10)	Not Applicable	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(1)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(3)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(4)	Yes	
	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : Saket Gupta
Designation : Company Secretary & Compliance Officer